

## Overexpression of the ETS-Related Gene, *ERG*, Predicts a Worse Outcome in Acute Myeloid Leukemia With Normal Karyotype: A Cancer and Leukemia Group B Study

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Authors' disclosures of potential conflicts of interest are found at the end of this article.

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### A B S T R A C T

#### Purpose

To test the prognostic significance of ETS-related gene (*ERG*) expression in cytogenetically normal primary acute myeloid leukemia (AML).

#### Patients and Methods

Pretreatment blood samples from 84 cytogenetically normal AML patients aged less than 60 years, who were characterized for *BAALC* expression, *FLT3* internal tandem duplication (ITD), and *MLL* partial tandem duplication (PTD) and uniformly treated on Cancer and Leukemia Group B 9621 protocol, were analyzed for *ERG* expression by real-time reverse transcriptase polymerase chain reaction. Patients were divided into quartiles according to *ERG* levels and were compared for clinical outcome. High-density oligonucleotide arrays were used to identify genes differentially expressed between high and low *ERG* expressers.

#### Results

With a median follow-up of 5.7 years, patients with the upper 25% of *ERG* expression values had a worse cumulative incidence of relapse (CIR;  $P < .001$ ) and overall survival (OS;  $P = .011$ ) than the remaining patients. In a multivariable analysis, high *ERG* expression ( $P < .001$ ) and the presence of *MLL* PTD ( $P = .027$ ) predicted worse CIR. With regard to OS, an interaction was observed between expression of *ERG* and *BAALC* ( $P = .013$ ), with *ERG* overexpression predicting shorter survival only in low *BAALC* expressers ( $P = .002$ ). *ERG* overexpression was an independent prognostic factor even when the unfavorable group of *FLT3* ITD patients lacking an *FLT3* wild-type allele was included. High *ERG* expression was associated with upregulation of 112 expressed-sequenced tags and named genes, many of which are involved in cell proliferation, differentiation, and apoptosis.

#### Conclusion

*ERG* overexpression in AML patients with normal cytogenetics predicts an adverse clinical outcome and seems to be associated with a specific molecular signature.

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### INTRODUCTION

Cytogenetic abnormalities detected at diagnosis have long been recognized as predictors for clinical outcome in acute myeloid leukemia (AML).<sup>1</sup> However, the largest cy-

togenetic subset of adult AML, approximately 45%, consists of patients with a normal karyotype.<sup>1</sup> In large studies of the clinical significance of cytogenetics in AML, these patients have been categorized in an intermediate-risk group, with 5-year survival rates varying

between 24% and 42%.<sup>2-5</sup> The difference in clinical outcome likely reflects molecular heterogeneity of this cytogenetic subset whose prognosis is influenced by submicroscopic gene mutations or overexpression.<sup>6</sup> The adverse prognostic impact of the partial tandem duplication (PTD) of *MLL*, internal tandem duplication (ITD) of *FLT3*, and overexpression of *BAALC* in karyotypically normal AML is now established,<sup>7-17</sup> as is the favorable prognostic significance of *CEBPA* gene mutations.<sup>17,18</sup> However, it is likely that, in addition to the aforementioned genetic abnormalities, others will be found to impact on the clinical outcome of cytogenetically normal AML. Given that intensive treatments such as allogeneic stem-cell transplantation (SCT), although potentially curative in patients with poor prognosis AML, are associated with high treatment-related mortality, novel molecular markers will likely be valuable to stratify karyotypically normal AML patients to risk-adapted therapies. Furthermore, because these markers are mutated or overexpressed genes encoding proteins with potentially pivotal roles in leukemogenesis, they could also serve as molecular targets for novel therapeutic approaches.<sup>19</sup>

We have recently shown that *ETS-related gene* (*ERG*), which is located at chromosome band 21q22, is frequently overexpressed in AML patients with complex karyotypes and cryptic amplification of chromosome 21.<sup>20</sup> *ERG* and other members of the *ETS* family are downstream effectors of mitogenic signaling transduction pathways and are involved in key steps regulating cell proliferation, differentiation, and apoptosis.<sup>21-23</sup> Although *ERG* rearrangements have been found in AML<sup>24</sup> and Ewing sarcoma<sup>25</sup> and its overexpression has been observed in prostate cancer,<sup>26</sup> little is known regarding how *ERG* contributes to malignant transformation.<sup>27</sup> In our previous report,<sup>20</sup> high *ERG* expression was not always associated with genomic amplification, thereby leaving *ERG* overexpression mechanistically unexplained. Nevertheless, the recurrent presence of *ERG* overexpression in AML with complex karyotypes, a prognostically unfavorable subgroup, suggests that *ERG* overexpression might not only be a nonrandom event in myeloid leukemogenesis, but also might contribute to an aggressive malignant phenotype.

To test this hypothesis, we analyzed karyotypically normal AML patients who were uniformly treated on the Cancer and Leukemia Group B (CALGB) 9621 protocol.<sup>28</sup> We show that the level of *ERG* expression varies among patients and that *ERG* overexpression constitutes an adverse prognostic factor in cytogenetically normal AML.

## PATIENTS AND METHODS

### Patients

*ERG* expression was analyzed in 84 adults aged less than 60 years with primary, untreated AML and normal cytogenetics confirmed by central morphologic and karyotype reviews. Eligible

patients were enrolled onto the treatment trial CALGB 9621,<sup>28</sup> the prospective cytogenetic study CALGB 8461,<sup>29</sup> and the molecular study of *BAALC* expression (CALGB 9665).<sup>15</sup> Written institutional review board–approved informed consent was obtained from all patients.

Pretreatment cytogenetic analyses of bone marrow (BM) were performed as previously described.<sup>4,30</sup> At least 20 metaphases were analyzed, and the karyotype was normal in each case. Pretreatment *MLL* PTD and *FLT3* ITD status and *BAALC* levels were also determined centrally for each patient, as described previously.<sup>7,10,15</sup>

### Treatment

Patients received induction chemotherapy with cytarabine, daunorubicin, and etoposide with valsopodar (PSC-833) or without valsopodar.<sup>28</sup> On achievement of complete remission (CR), patients received high-dose etoposide and cytarabine for stem-cell mobilization followed by myeloablative treatment with busulfan and etoposide supported by autologous peripheral-blood SCT (APBSCT). Patients unable to receive APBSCT received two additional cycles of high-dose cytarabine. After consolidation, patients received maintenance with interleukin-2.

### RNA Extraction and Real-Time Reverse Transcriptase Polymerase Chain Reaction

*ERG* expression was measured in blood for consistency with our previous analysis of the same patients characterized for *BAALC* expression.<sup>15</sup> Mononuclear cells from pretreatment blood were enriched by Ficoll-Hypaque gradient and cryopreserved in liquid nitrogen. Samples were chosen based on the availability of procured material with adequate RNA quality in the CALGB Leukemia Tissue Bank. Total RNA was extracted using Trizol reagent (Invitrogen, Carlsbad, CA). cDNA synthesis and the real-time amplification reactions were performed as previously reported.<sup>15</sup>

The comparative cycle threshold ( $C_T$ ) method was used to determine the relative expression levels of *ERG* to *GPI*, the internal control, in patients previously included in the *BAALC* expression study.<sup>15</sup> Of the original 86 patients, 84 were analyzed for *ERG/GPI* levels calculated using the mean of  $\Delta C_T$  from two replicates and expressed as  $2^{\mu(\Delta C_T)}$ . The results of real-time reverse transcriptase polymerase chain reaction (RT-PCR) were correlated with clinical end points.

To assess the impact of *ERG* levels on clinical outcome, we also adopted an alternative approach. Absolute *ERG* copy numbers were measured and normalized to the copy numbers of *ABL*, which was a different internal control validated by multicenter studies,<sup>31,32</sup> using standard curves constructed as reported previously.<sup>33</sup> We analyzed 73 samples comprising 48 samples from patients included in the set evaluated for *ERG/GPI* levels and for whom leftover material was available and 25 samples from additional, karyotypically normal AML patients enrolled onto CALGB 9621. Importantly, eight of the latter patients had the unfavorable *FLT3*<sup>ITD/-</sup> genotype,<sup>10</sup> the presence of which was a reason for exclusion from the previous *BAALC* study.<sup>15</sup>

Positive and negative controls were included in all assays. The reproducibility of the real-time RT-PCR assays was similar to what we reported previously.<sup>15</sup>

### Gene Expression Profiling

Suitable RNA samples from 61 patients characterized for *ERG/ABL* expression were analyzed using Affymetrix U133 plus 2.0 GeneChips (Affymetrix, Santa Clara, CA). From 8  $\mu$ g of total RNA, double-stranded cDNA was prepared (Invitrogen) with the

**Table 1.** Presenting Characteristics of Patients Divided Into Quartile Groups According to *ERG* Expression

Characteristic	Overall (N = 84)		<i>ERG</i> Expression Quartiles 1-3 (n = 63)		<i>ERG</i> Expression Quartile 4 (n = 21)		P*
	No. of Patients	%	No. of Patients	%	No. of Patients	%	
Age, years							
Median	47		47		48		.616
Range	18-59		18-59		26-59		
Sex, male	45	54	35	56	10	48	.617
Race (n = 1 unknown)							.99
White	73	88	54	87	19	90	
Nonwhite	10	12	8	13	2	10	
FAB (n = 2 unknown)							.023
M0/M1	19	23	9	15	10	48	
M2	27	33	21	34	6	29	
M4	22	27	17	28	5	24	
M5	11	13	11	18	0	0	
M6	1	1	1	2	0	0	
AML, unclassified	2	2	2	3	0	0	
Hemoglobin, g/dL							.470
Median	8.9		9.1		8.4		
Range	4.6-12.9		4.6-12.8		7.1-12.9		
Platelets, × 10 <sup>9</sup> /L							.473
Median	60.5		55		64		
Range	5-378		5-378		21-235		
WBC count, × 10 <sup>9</sup> /L							.824
Median	22.8		19.9		24.9		
Range	0.8-295.0		0.8-295.0		1.6-118.4		
Percentage of BM blasts							.056
Median	57		53		66		
Range	28-90		28-88		28-90		
Percentage of PB blasts							.045
Median	50		46.5		58		
Range	0-97		0-97		20-95		
<i>FLT3</i> status							.742
<i>FLT3</i> <sup>WT/WT</sup>	70	83	53	84	17	81	
<i>FLT3</i> <sup>TD/WT</sup>	14	17	10	16	4	19	
<i>MLL</i> PTD (n = 1 unknown)							.411
Yes	8	10	5	8	3	14	
No	75	90	57	92	18	86	
<i>BAALC</i> expression†							.042
Low	42	50	36	57	6	29	
High	42	50	27	43	15	71	
Extramedullary involvement							
CNS	0	0	0	0	0	0	
Hepatomegaly	4	5	4	6	0	0	.568
Splenomegaly	5	6	4	6	1	5	.99
Lymphadenopathy	8	10	5	8	3	14	.406
Skin infiltrates	10	12	8	13	2	10	.99
Gum hypertrophy	16	19	15	24	1	5	.060
Induction regimen							.078
ADE	39	46	33	52	6	29	
ADEP	45	54	30	48	15	71	

Abbreviations: *ERG*, *ETS-related gene*; FAB, French-American-British classification; AML, acute myeloid leukemia; BM, bone marrow; PB, peripheral blood; *MLL* PTD, partial tandem duplication of the *MLL* gene; *FLT3*<sup>WT/WT</sup>, patients with only wild-type *FLT3* genes; *FLT3*<sup>TD/WT</sup>, patients with internal tandem duplication of the *FLT3* gene and the wild-type *FLT3* allele; ADE, cytarabine, daunorubicin, and etoposide; ADEP, cytarabine, daunorubicin, etoposide, and valsopodar.

\*P compares differences in presenting characteristics between patients with the three lowest quartiles and the highest quartile of *ERG* expression. †*BAALC* expression dichotomized at the median value.<sup>15</sup>

**Table 2.** Clinical Outcome of Patients Divided Into Quartile Groups According to ERG Expression

End Point	Overall (N = 84)	ERG Expression Quartiles 1-3 (n = 63)	ERG Expression Quartile 4 (n = 21)	P*
CR				
No.	68	52	16	.532
%	81	83	76	
Relapse				
No.	30	17	13	.001
%	44	33	81	
Death in CR				
No.	7	6	1	.99
%	10	12	6	
CIR				
Median, years	Not reached	Not reached	0.7	< .001
CIR at 5 years				
%	44	33	81	
95% CI	32 to 56	20 to 46	60 to 100	
OS				
Median, years	3.1	Not reached	1.2	.011
Alive at 5 years				
%	43	51	19	
95% CI	32 to 53	38 to 62	6 to 38	

Abbreviations: ERG, ETS-related gene; CR, complete remission; CIR, cumulative incidence of relapse; OS, overall survival.  
\*P compares differences in outcome between patients with the three lowest quartiles and the highest quartile of ERG expression.

use of the T7-Oligo(dT) primer (Affymetrix). In vitro transcription was performed with the BioArray HighYield RNA Transcript Labeling Kit (T7) (Enzo Life Science, Farmingdale, NY). Twenty micrograms of fragmented, biotinylated RNA was hybridized to the U133 plus 2.0 GeneChip for 16 hours at 45°C. Scanned images were converted to CEL files using GCOS software (Affymetrix).

**Definition of Clinical End Points**

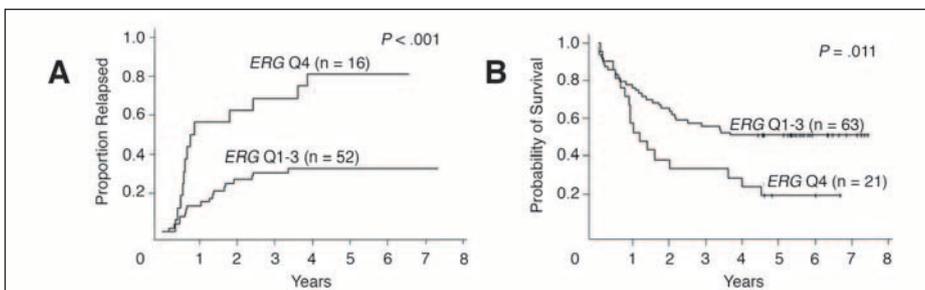
CR was defined as recovery of morphologically normal BM and blood counts (ie, neutrophils ≥ 1,500/μL and platelets ≥ 100,000/μL) and no circulating leukemic blasts or evidence of extramedullary leukemia. Relapse was defined by more than 5% blasts in marrow aspirates or the development of extramedullary leukemia in patients with previously documented CR, according to National Cancer Institute criteria.<sup>34</sup>

Cumulative incidence of relapse (CIR) was measured from the CR date to date of relapse, death, or date last known alive, where death in CR was considered a competing risk. Disease-free survival (DFS) was measured from the CR date until date of relapse or death (regardless of cause), censoring for patients alive at last follow-up. DFS was used only for the 73 patients analyzed

for ERG/ABL because none of them died in CR, and therefore, DFS reflected the actual relapse risk. Overall survival (OS) was measured from the date the patient was enrolled onto the study until the date of death or date last known alive.

**Statistical Methods**

The main objective was to evaluate the impact of ERG expression on clinical outcome. A set of 84 patients was initially divided into quartile (Q) groups according to levels of ERG/GPI expression and subsequently dichotomized into groups including the three lower Qs (Q1, Q2, and Q3) and the upper Q (Q4) of ERG/GPI values. Q4 was chosen for the cut point because the relapse risk was significantly different for the Q4 group compared with the Q1 (P = .024), Q2 (P = .002), and Q3 (P = .009) groups. Similarly, the 73 patients characterized for ERG/ABL copy numbers in blood were dichotomized into Q1-3 and Q4 groups based on the number of normalized ERG copies. Pretreatment clinical features were compared between Q1-3 and Q4 groups using the Fisher’s two-sided exact and Wilcoxon rank sum tests for categoric and continuous variables, respectively.



**Fig 1.** Clinical outcome of patients grouped by ETS-related gene (ERG) expression into quartile 4 (Q4), the uppermost quartile, and quartiles 1 to 3 (Q1-3), the lower quartiles. (A) Cumulative incidence of relapse. (B) Overall survival.

**Table 3.** Multivariable Analysis for Patients Divided Into Quartile Groups According to *ERG* Expression\*

End Point	Variable	HR	95% CI	P
CIR	<i>ERG</i> expression, Q4 v Q1-3	3.71	1.88 to 7.31	< .001
	Present v absent <i>MLL</i> PTD	2.70	1.12 to 6.52	.027
OS	Interaction of <i>ERG</i> and <i>BAALC</i>			.013
	Patients with low <i>BAALC</i> expression;† <i>ERG</i> expression, Q4 v Q1-3	5.40	1.87 to 15.64	.002
	Patients with high <i>BAALC</i> expression;† <i>ERG</i> expression, Q4 v Q1-3	1.04	0.50 to 2.16	.922
	Log[WBC]	1.35	1.07 to 1.70	.012

NOTE. Hazard ratios greater than 1 indicate a higher risk of death or relapse for higher values of continuous variables and for the first category listed for categoric variables.  
Abbreviations: *ERG*, *ETS*-related gene; HR, hazard ratio; CIR, cumulative incidence of relapse; Q, quartile; PTD, partial tandem duplication; OS, overall survival; ADE, cytarabine, daunorubicin, and etoposide; ADEP, cytarabine, daunorubicin, etoposide, and valspodar.  
\*Variables considered for model inclusion were *ERG* expression (Q4 v Q1-3), *BAALC* expression (high v low), hemoglobin, platelet count, log-transformed WBC count, percentage of peripheral-blood and bone marrow blasts, age, sex, race (white v nonwhite), induction treatment (ADE v ADEP), *FLT3* genotype (*FLT3*<sup>WT/WT</sup> v *FLT3*<sup>WT/ITD</sup>) and presence of *MLL* PTD.  
†High and low *BAALC* groups defined by a cut point taken at the median *BAALC* expression value.<sup>15</sup>

Estimated probabilities of OS and DFS were calculated using the Kaplan-Meier method, and the log-rank test evaluated differences between survival distributions. Estimates of CIR were calculated, and Gray's k-sample test<sup>35</sup> evaluated differences in relapse rates. Proportional hazards models were constructed for OS and DFS,<sup>36</sup> whereas a multivariable model using Gray's method was constructed for CIR<sup>37</sup> using a limited backwards selection procedure. Variables remaining in the final models were significant at  $\alpha = .05$ . Adjusted survival curves were generated from the proportional hazards and Gray models using average covariate values.

For microarray data analysis, normalization and model-based expression index (MBEI) computations were performed using dChip version 1.3 (Harvard University, Cambridge, MA).<sup>38-40</sup> Only the perfect match probes were used in the computation of MBEIs, whereas mismatch probes were ignored. Log[MBEI] values were calculated and then exported to BRB-ArrayTools v3.2.3 (National Cancer Institute, Bethesda, MD) for further analysis. Probe sets with a variance in log[MBEI] values above the 80th percentile were retained for further analyses (n = 10,935). A comparison of gene expression between Q1-3 and Q4 was performed by two-sample *t* tests using  $\alpha = .001$  as the significance level, which would result in approximately 11 expected false discoveries assuming no gene expression differences between the two groups. A permutation test was performed to validate the results of the parametric test. All analyses were performed by the CALGB Statistical Center.

## RESULTS

### Impact of *ERG* Expression on Clinical Outcome

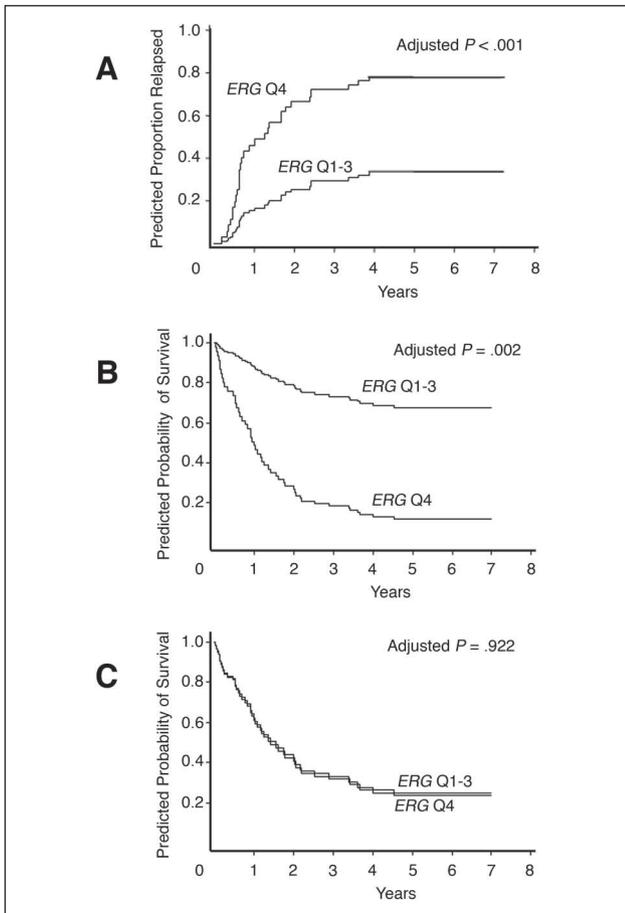
No significant differences were observed in most pre-treatment clinical characteristics between patients with the lowest 75% (Q1-3) and highest 25% (Q4) of *ERG* expression values. The two groups differed for *BAALC* expression levels ( $P = .042$ ), circulating ( $P = .045$ ) and BM ( $P = .056$ ) blast percentages, gum hypertrophy ( $P = .060$ ), and French-American-British subgroup distribution ( $P = .023$ ; Table 1).

The CR rate was 81%, with no significant difference between patients in Q4 and Q1-3 ( $P = .532$ ; Table 2). With a median follow-up of 5.7 years (range, 4.4 to 7.4 years),

patients in Q4 had a worse CIR than patients in Q1-3 ( $P < .001$ ; Fig 1A). The estimated 5-year relapse rate for Q4 patients was 81% compared with 33% for Q1-3 patients (Table 2). Furthermore, the OS was different between the two groups ( $P = .011$ ; Fig 1B). Patients in Q4 had a median survival time of 1.2 years and an estimated 5-year survival rate of 19%; in contrast, median survival time for Q1-3 patients has not been reached, and their estimated 5-year survival rate was 51% (Table 2). When analysis was restricted to the set of patients who achieved CR and received APBSCT as a prescribed consolidation treatment (n = 49), *ERG* remained a significant adverse factor for outcome (data not shown).

On multivariable analysis, high *ERG* expression (ie, Q4) adversely impacted CIR ( $P < .001$ ), as did the presence of *MLL* PTD ( $P = .027$ ; Table 3, Fig 2A). Patients in Q4 had an estimated relapse risk almost four times higher than patients in Q1-3. For OS, an interaction between expression of *ERG* and *BAALC* ( $P = .013$ ) was observed (Table 3). For low *BAALC* levels, patients in Q4 had a shorter survival than patients in Q1-3 ( $P = .002$ ; Fig 2B, Table 3). However, the adverse impact of high *ERG* expression on OS was not observed in patients with high *BAALC* expression ( $P = .922$ ; Fig 2C, Table 3). The only patients who maintained a long-term survival rate greater than 50% were patients with lower expression of both *ERG* and *BAALC*. Additionally, worse survival was associated with higher log[WBC] ( $P = .012$ ; Table 3). Although Q4 patients had a higher percentage of circulating blasts at diagnosis (Table 1), this factor did not impact significantly on clinical outcome and, consequently, was not included in the multivariable models.

Similar results were obtained when we used a second analytic strategy using specific standard curves to measure *ERG* copy numbers normalized to *ABL*.<sup>32,33</sup> To explore whether *ERG* expression predicts clinical outcome independently from other major unfavorable prognostic markers, we included samples with the most unfavorable *FLT3* genotype, *FLT3*<sup>ITD/-</sup>. Normalized *ERG* copies ranged from 0.4 to



**Fig 2.** Predicted clinical outcome for the *ETS*-related gene (*ERG*) quartiles 1 to 3 (Q1-3) versus quartile 4 (Q4) groups. (A) Cumulative incidence of relapse. Curves are adjusted for *MLL* partial tandem duplication. (B and C) Overall survival for patients with (B) low and (C) high *BAALC* expression. Curves are adjusted for log[WBC] and *BAALC*. Results are based on 63 Q1-3 patients (36 with low and 27 with high *BAALC* expression) and 21 Q4 patients (six with low and 15 with high *BAALC* expression).

735.9 (median, 17.9 copies). Patients with the lowest 75% (Q1-3; n = 55) of *ERG* copies (median, 11.0 copies; range, 0.4 to 35.1 copies) were compared with patients with the highest 25% (Q4; n = 18) of *ERG* copies (median, 62.2 copies; range, 37.5 to 735.9 copies). There were no significant differences in *FLT3* genotype distribution, which included wild-type *FLT3* (*FLT3*<sup>WT/WT</sup>), *FLT3* ITD expressing

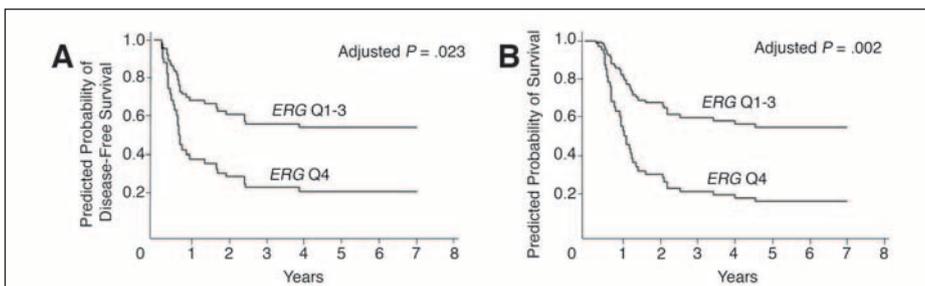
the wild-type allele (*FLT3*<sup>ITD/WT</sup>), and *FLT3* ITD lacking the wild-type allele (*FLT3*<sup>ITD/-</sup>; P = .644). Patients in Q4 had a worse DFS (P = .092) and OS (P = .003) than patients in Q1-3, with an estimated 5-year DFS of 25% (95% CI, 6% to 50%) v 53% (95% CI, 38% to 66%), respectively, and OS of 22% (95% CI, 7% to 43%) v 54% (95% CI, 40% to 67%), respectively. When the analysis was restricted to the subset of 25 patients not analyzed for *ERG/GPI*, *ERG* overexpression remained a significant adverse predictor for both OS and DFS (data not shown).

In a multivariable model, high *ERG* copy number (ie, Q4; P = .023; Fig 3A) and *FLT3* ITD (P < .001) independently predicted worse DFS. The estimated relapse risk for Q4 patients was more than twice the risk for Q1-3 patients (hazard ratio [HR] = 2.55; 95% CI, 1.14 to 5.69). Patients with *FLT3*<sup>ITD/-</sup> and *FLT3*<sup>ITD/WT</sup> had an estimated relapse risk eight times (HR = 8.37; 95% CI, 2.91 to 24.08) and three times (HR = 3.23; 95% CI, 1.44 to 7.23) the risk of patients with *FLT3*<sup>WT/WT</sup>, respectively. In a multivariable model for OS, high *ERG* (HR = 3.05; 95% CI, 1.53 to 6.05; P = .002; Fig 3B), high log[WBC] (HR = 1.68; 95% CI, 1.14 to 2.46; P = .009), and *FLT3* ITD (P = .002) predicted shorter survival. Patients with *FLT3*<sup>ITD/-</sup> and *FLT3*<sup>ITD/WT</sup> had six times (HR = 6.21; 95% CI, 2.42 to 15.93) and two times (HR = 2.09; 95% CI, 0.98 to 4.47), respectively, the estimated risk of dying compared with *FLT3*<sup>WT/WT</sup> patients.

**Gene Expression Profiling by Oligonucleotide Microarrays in High Versus Low ERG**

Microarray gene expression profiling was conducted to assess whether *ERG* overexpression was associated with a specific signature suggestive of the gene’s potential leukemogenic role. One hundred seventeen probes in the Affymetrix U133 plus 2.0 GeneChip were differentially expressed (P < .001) between the Q1-3 and Q4 groups, including 63 unique, named genes and 49 expressed-sequenced tags. Twenty-five probes corresponding to 14 named genes (Table 4) and eight expressed-sequenced tags had at least a two-fold difference in expression levels between the Q1-3 and Q4 groups (Fig 4). Supporting our real-time RT-PCR results, three probe sets for *ERG* were differentially expressed, with average expression 2.1 to 2.6 times higher in Q4 patients.

Of the 63 named genes overexpressed in Q4, functional characterization was available for 53. Twenty-three of the



**Fig 3.** Predicted clinical outcome for patients grouped by *ETS*-related gene (*ERG*) copy number into the *ERG* quartiles 1 to 3 (Q1-3) and quartile 4 (Q4) groups. (A) Disease-free survival. Curves are adjusted for *FLT3* genotypes (*FLT3*<sup>WT/WT</sup> v *FLT3*<sup>WT/ITD</sup> and *FLT3*<sup>WT/WT</sup> v *FLT3*<sup>ITD/-</sup>). (B) Overall survival. Curves are adjusted for log[WBC] and *FLT3* genotypes.

**Table 4.** Named, Differentially Expressed Genes That Were Expressed Two-Fold or More in the *ERG* Uppermost Quartile (quartile 4) Compared With Lower Quartiles (quartiles 1 to 3)

Gene Symbol	Name	Fold Change	P
<i>HEMGN</i>	Hemogen	2.69	.00073
<i>ERG</i>	V-ets erythroblastosis virus E26 oncogene like (avian)	2.60	.00039
<i>IKIP</i>	IKK interacting protein	2.48	.00049
<i>BCL11A</i>	B-cell CLL/lymphoma 11A (zinc finger protein)	2.46	.00002
<i>DAPK1</i>	Death-associated protein kinase 1	2.19	.00005
<i>GRSP1</i>	GRP1-binding protein GRSP1	2.16	.00066
<i>GAS5</i>	Growth arrest-specific 5	2.14	.00003
<i>GUCY1A3</i>	Guanylate cyclase 1, soluble, alpha 3	2.14	.00032
<i>KLHDC1</i>	Kelch domain containing 1	2.05	.00083
<i>HIST2H4</i>	Histone 2, H4	2.04	.00077
<i>ATP6V1C1</i>	ATPase, H+ transporting, lysosomal 42 kd, V1 subunit C, isoform 1	2.03	.00099
<i>GTF2H2</i>	General transcription factor IIIH	2.03	.00002
<i>RAB10</i>	RAB10, member RAS oncogene family	2.01	.00049
<i>ZNF638</i>	Zinc finger protein 638	2.00	.00040

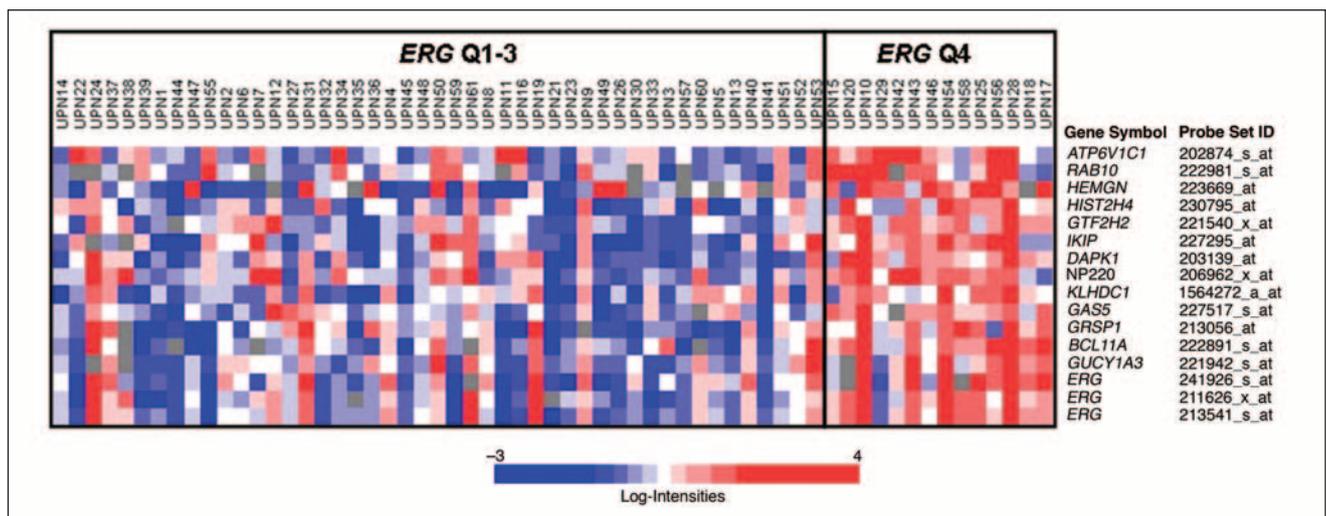
Abbreviations: *ERG*, *ETS-related gene*; IKK, I kappa B kinase; CLL, chronic lymphoid leukemia.

genes are involved in DNA and/or RNA binding and chromatin remodeling, including general transcription activators (ie, *GTF2H2*, *FAM48A*, *TCF12*, and *GCN5L2*) or repressors (*CTBP2*) and lineage-specific transcription regulators, such as *BCL11A*, which is involved in lymphoid cell development,<sup>41</sup> and *HEMGN*, which is involved in myeloid differentiation.<sup>42-44</sup> Other genes encode small GTPases, members of the RAS superfamily (*RALA* and *RAB10*) or their regulators (*RABGAP1* and *ARHGAP22*), or proteins involved in membrane-receptor signaling pathways (*GPR21*, *DPAGT1*, *PILRB*, *GPR89*, and *FRMD4B*), including activators of small GTPases. Surprisingly, we found overexpression of three proapoptotic genes (*PACAP*, *IKIP*, and *DAPK1*); *DAPK1* was recently reported to be silenced by

methylation in AML.<sup>45</sup> Finally, the following three genes with unknown function but linked to hereditary diseases were upregulated: *ATXN2*, which is mutated in autosomal-dominant spinocerebellar ataxia-2,<sup>46</sup> *SHANK3*, which is involved in the 22q13 deletion syndrome,<sup>47</sup> and *OFD1*, which is mutated in orofacioidigital syndrome I.<sup>48</sup> To our knowledge, overexpression or mutations of these genes have hitherto not been reported in AML.

## DISCUSSION

Although molecular mechanisms underlying diverse clinical outcome in cytogenetically normal AML are not fully



**Fig 4.** Heat map of two-fold or greater differentially expressed genes between *ETS-related gene* (*ERG*) quartiles 1 to 3 (Q1-3) and quartile 4 (Q4). Columns represent samples, and rows represent genes ordered by hierarchical cluster analysis. Shading indicates relative expression of each gene with respect to the gene median expression (white equal to, red above, and blue below the median value; gray, missing values as a result of unreliable measurement).

understood, recent studies have identified several biomarkers correlated with prognosis.<sup>6-18</sup> Here, we report for the first time that *ERG* overexpression predicted an increased relapse risk and short survival in AML patients with normal karyotype by both univariable and multivariable analyses. Despite a relatively small number of patients studied, our data support the addition of *ERG* overexpression to the emerging list of markers predictive for clinical outcome in cytogenetically normal AML.

With the number of prognostic markers growing, the relative contribution of each in predicting treatment outcome becomes important. In the current study, although high *ERG* levels correlated with an increased relapse risk regardless of the *BAALC* expression, a prognostic interaction between *ERG* and *BAALC* expression was observed for OS, with a shorter survival associated with high *ERG* levels only among patients with low *BAALC* expression. Likewise, interactions among prognostic markers, such as a greater prognostic importance of *FLT3* ITD compared with *BAALC* overexpression and of *BAALC* overexpression compared with *CEBPA* mutations, have been reported previously.<sup>15,17,18</sup> Because data on the impact of the concurrent presence of two or more molecular markers in cytogenetically normal AML are limited, further investigation of prognostic interactions is required by large prospective studies, with the goal of designing a prioritized, clinically relevant prognostic classification.

Although our data suggest that expression of *ERG* is useful for prognostic stratification of cytogenetically normal AML, the mechanism through which *ERG* overexpression contributes to myeloid leukemogenesis remains unknown. *ERG* is one of more than 30 members of the *ETS* gene family, most of which are downstream nuclear targets of signal transduction pathways regulating and promoting cell differentiation, proliferation, and tissue invasion.<sup>21,22,27</sup> Rearrangement of *ERG* was initially discovered in Ewing sarcomas harboring t(21;22)(q22;q12), which at the molecular level fuses *ERG* with *EWS*.<sup>25</sup> Other *ETS* family members also fuse with *EWS* in Ewing sarcomas.<sup>49-51</sup> In AML carrying t(16;21)(p11;q22), *ERG* was found rearranged with *FUS*, linking *ERG* with myeloid leukemogenesis for the first time.<sup>24</sup> Interestingly, like *EWS*, *FUS* is a member of the TET family of RNA-binding proteins,<sup>52</sup> supporting the notion that gene rearrangements involving *ETS* members

are often characterized by a TET-related transactivation domain at the N terminus and ETS DNA binding and protein-protein interaction domains at the C terminus. This structure likely increases the oncogenic activity of the resulting chimeric transcription factors by redirecting them to specific targets. Interestingly, a role for *ERG* in endothelial cell differentiation and angiogenesis was recently suggested.<sup>23,53</sup>

Our microarray gene expression profiling analysis, using a supervised analysis, identified a molecular signature for patients in the highest Q (Q4) of *ERG* expression. Many genes upregulated in Q4, including *ERG*, encode proteins functioning as transcription factors or involved in chromatin remodeling and RNA processing; others encode proteins regulating cell differentiation, proliferation, and apoptosis. Interestingly, the recently described *HEMGN* gene (also known as *EDAG*) was the most differentially expressed gene between the two groups. This gene reportedly regulates proliferation, differentiation, and apoptosis of hematopoietic cells and seems to be significantly overexpressed in refractory AML patients but not in patients with chemotherapy-sensitive disease.<sup>42-44</sup>

Because the molecular signature for the *ERG* overexpressers was defined by patients' clustering based on previously identified prognostic groups (ie, Q4 v Q1-3), it was not surprising that this gene profile was associated with a worse clinical outcome (data not shown). Obviously, for *ERG* expression to become a molecular marker used routinely for risk stratification in AML with normal cytogenetics, our data require confirmation in an independent, large patient cohort.

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### Appendix

The Appendix is included in the full-text version of this article, available online at [www.jco.org](http://www.jco.org). It is not included in the PDF (via Adobe® Acrobat Reader®) version.

### Authors' Disclosures of Potential Conflicts of Interest

The authors indicated no potential conflicts of interest.

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